

11 NCAC 21 .0109 MARKETING AND SALES

(a) Each TPA shall ensure that all direct sales staff and brokers are licensed by the State of North Carolina to sell insurance and meet all continuing education requirements.

(b) Each TPA that markets or sells insurance products shall quote only premium rates that have been filed with, and if applicable, approved by the Commissioner for those products. Where premium rates accompany a policy form filing and the rates are not subject to approval but the policy form filing is subject to approval, the TPA shall not quote those premium rates until the related forms have been approved by the Commissioner.

*History Note: Authority G.S. 58-2-40; 58-56-31; 58-56-51; 58-63-15; 58-63-65;
 Eff. June 1, 1996;
 Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. December
 16, 2014.*